

Quarterly Compliance Update for Law Firms'

Live Webinar

Tuesday, 9th April, 2024

Presenter: Joanna Morris

12noon – 1pm. Fee: £30 + VAT (£36) members £40 + VAT (£48) non members

Introduction

2024 has started with a bang in relation to changes in regulations, legislation and guidance. We have seen record fines in relation to AML compliance and the SRA using their new fixed fee fines in relation to various breaches. How are we supposed to keep up with the minefield of compliance in the legal sector?

Have you ever wished you could go to one place to get all your updates. Well now you can!

Introducing our new quarterly compliance updates – one webinar every quarter to keep you on the right track. We will be looking at all the new releases from the SRA and the regulations and guidance together with some of the key news items to learn lessons from.

I can't give you an agenda at the moment as we don't know what's going to be released but so far this year we have seen the following:

- SRA guidance released on sanctions firm wide risk assessments
- Changes to the MLR2017 in respect of Regulation 35 on PEPS and the list of high risk third country changes from Schedule 3ZA of the MLR2017
- The Legal Ombudsman address change
- Transparency International release their new Corruption Perceptions Index 2023
- Imminent changes to Companies House under the new Economic Crime and Transparency Act 2023
- Large fines given to some law firms for AML failings
- Fixed fee fines from the SRA for breaches of the Transparency Rules

What will February and March bring? Join us on this webinar to find out!

The Presenter

Joanna Morris has over thirty years' experience of working in solicitors' practices from being a legal cashier, practice manager and training manager. Joanna is a freelance trainer and consultant, having previously been the Risk and Regulatory Compliance Training Manager at a top 40 law firm.

Jo currently delivers training in many compliance subjects, both to the public and in-house clients, on AML, GDPR, SRA Accounts Rules and courses designed specifically for the MLRO, DPO, COLP and COFA.

She is a qualified NVQ assessor and holds a Certificate In Education for Teaching in the Lifelong Learning Sector.

Visit the website www.sussex-law.co.uk

PLEASE NOTE THAT CANCELLATIONS MADE WITHIN 10 WORKING DAYS BEFORE THE DATE OF THE COURSE CANNOT BE REFUNDED

She was highly commended in June 2011 by the Legal Education and Training Group (LETG) in the category Best Programme for Lawyers for Solicitors Accounts Rules training. She was shortlisted in the Compliance Trainer of the Year category in the C5 Women in Compliance Awards in 2014.

'Quarterly Compliance Update for Law Firms' is part of a series of lunchtime one-hour webinars the Sussex Law Society is holding every two weeks between March and July 2024 on some of the most important areas of compliance for law firms. These 'stand-alone' sessions will keep you up to date with any new updates being released by the SRA and others.

To register to attend please complete and return the application form below.

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Sussex Law Society Webinar Application Form
'Quarterly Compliance Update for Law Firms' (09/04/2024)

To book a place on this webinar, please complete and return the form below to Jeanette Lacy Scott – jls@sussex-law.co.uk and you will be sent an invoice for payment (if the invoice should be sent to someone other than the delegate please give details of name and email address to whom it should be sent).

Name of Delegate(s):
(If you are sending more than one delegate, please supply names on back of this form)

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