

FROM SRA HANDBOOK TO THE NEW STANDARDS & REGULATIONS

Monday, 25th November, 2019

Presenter: Joanna Morris

Venue: Jury's Inn, 101 Stroudley Road, Brighton, BN1 4DJ

Time: 2-5pm

Fee: £100 + VAT (£120) members £120 + VAT (£144) non members

On 25th November 2019, after over 7 years of the SRA Handbook, we will see the introduction of the new SRA Standards & Regulations (another SAR). When first introduced the Handbook gave us a different way of working by introducing outcomes-focused regulation (OFR), together with a risk based approach & introduced us to the COLP and COFA. The risk based approach & the compliance officers are staying with us, but the outcomes & indicative behaviours will disappear & we will be introduced to the new standards of conduct.

With an implementation date of 25th November 2019 we will be expected to be ready. There will be no grace period. So, what are you doing to prepare your firm for a seamless transition from old to new?

This course is a must for compliance officers, compliance team members, partners, fee earners and managers and will cover the following:

- The Principles – from ten to seven – what stays, what goes and what has been moved elsewhere
- Not one but two! The new Codes of Conduct – one for the solicitors, registered European lawyers and foreign lawyers & one for the firm
- The SRA Accounts Rules – after twenty one years the accounts rules have been given a major update & are less restrictive
- Transparency Rules – what does your website say regarding pricing?
- The role of the COLP & COFA in the future
- Getting ready – what are we doing to prepare for this new way of working including:
 - Reviewing of your policies, procedures & documentation
 - Reviewing & implementing changes in regards to the accounts rules
 - Training of your staff on all areas of the new regime
 - Making the transition from old to new
- Competencies A&D

Joanna Morris has over 30 years' experience of working in solicitors' practices from being a legal cashier, practice manager & training manager. Joanna is a freelance trainer and consultant having previously been the Risk & Regulatory Compliance Training Manager at a top 40 law firm. Jo currently delivers training in many compliance subjects, both to the public and in-house clients, on AML, GDPR, SRA Accounts Rules and courses designed specifically for the MLRO, DPO, COLP and COFA. She is a qualified NVQ assessor and holds a Certificate In Education for Teaching in the Lifelong Learning Sector.

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Sussex Law Society Courses Application Form 'From SRA Handbook to the New Standards & Regulations' (25/11/2019)

Return to: Mrs J Lacy Scott, The Old Stores, Mill Street, Falmer, BN1 9PE. Tel/Fax: 01273 674420

Name of Delegate:

(If you are sending more than one delegate, please supply names on back of this form)

I/we enclose a cheque for £ (cheques payable to "The Sussex Law Society")

Email jeanettelacyscott@btinternet.com if you wish to pay by bank transfer

Firm Name:

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visit the website www.sussex-law.co.uk

PLEASE NOTE THAT CANCELLATIONS MADE WITHIN 10 WORKING DAYS BEFORE THE DATE OF THE COURSE CANNOT BE REFUNDED