

**ANTI MONEY LAUNDERING UPDATE****Tuesday, 28<sup>th</sup> January, 2020****Speaker: Joanna Morris****Venue: Jury's Inn, 101 Stroudley Road, Brighton, BN1 4DJ****Time: 2-5pm; Fee: £100 + VAT (£120) members £120 + VAT (£144) non members**

On 26th June 2017, the Money Laundering, Terrorist Financing and Transfer of Funds (Information on the Payer) Regulations 2017 (MLR2017) came into force. Changes were also made to the Proceeds of Crime Act (POCA). In December 2018 the results of the Financial Action Task Force (FATF) inspection were released which still said that there were improvements to be made in the way law firms work with regards to anti-money laundering. The MLR 2017 looks at many areas to consider – risk assessments, policies, procedures, training, CDD and PEPs to name but a few.

How much have you put in place over the last two years to ensure you are up to date with all things AML? The course will cover:

- FATF inspection and the SRA thematic reviews
- What is AML and CTF
- Legislation including the Proceeds of Crime Act 2002 (POCA), Bribery Act 2010 and the Criminal Finance Act 2017
- The Money Laundering Regulations 2017:
  - Risk assessment requirements and the role of the MLCO
  - Policies, controls and procedures
  - Training
  - CDD including ongoing CDD and enhanced CDD
  - Identifying the source of funds and wealth
  - The extended definition of a PEP
  - Beneficial owners
  - Record keeping
- Reporting to the NCA – what to report and how to complete a SAR
- Facts and figures from the NCA
- Penalties for getting it wrong
- Template documents – what records should you be keeping
- What's new – 5MLD and 6MLD

**Joanna Morris** has over 30 years' experience of working in solicitors' practices from being a legal cashier, practice manager & training manager. Joanna is a freelance trainer and consultant having previously been the Risk & Regulatory Compliance Training Manager at a top 40 law firm. Jo currently delivers training in many compliance subjects, both to the public and in-house clients, on AML, GDPR, SRA Accounts Rules and courses designed specifically for the MLRO, DPO, COLP and COFA. She is a qualified NVQ assessor and holds a Certificate In Education for Teaching in the Lifelong Learning Sector.

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*Sussex Law Society Courses Application Form 'Anti Money Laundering Update' (28/01/2020)*

**Return to: Mrs J Lacy Scott, The Old Stores, Mill Street, Falmer, BN1 9PE. Tel/Fax: 01273 674420**

Name of Delegate: .....

(If you are sending more than one delegate, please supply names on back of this form)

I/we enclose a cheque for £ ..... (cheques payable to "The Sussex Law Society")

Email [jeanettelacyscott@btinternet.com](mailto:jeanettelacyscott@btinternet.com) if you wish to pay by bank transfer

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PLEASE NOTE THAT CANCELLATIONS MADE WITHIN 10 WORKING DAYS BEFORE THE DATE OF THE COURSE CANNOT BE REFUNDED